



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Mastrianni et al.**

Serial No.: **09/865,249**

Filed: **May 25, 2001**

For: **Method and Apparatus for the Automatic Migration of Applications and Their Associated Data and Configuration Files**

35526

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

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Group Art Unit: **2151**

Examiner: **Tiv, Backhean**

Attorney Docket No.: **YOR920010276US1**

Certificate of Mailing Under 37 C.F.R. § 1.8(a)
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AK; and
- Our return postcard.

A fee of \$180.00 is believed to be necessary. I authorize the Commissioner to charge these fees to IBM Corporation Deposit Account No. 50-0510. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 50-0510.

Respectfully submitted,

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Registration No. 34,285

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ATTORNEY FOR APPLICANTS



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 50-0510. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0510.

In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the

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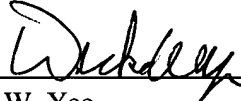
filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

This Supplemental Information Disclosure Statement is being filed after the mailing date of a final action or a notice of allowance, on or before the payment of the issue fee.

Please charge the required fee of \$180.00 as set forth in § 1.17(p) to Deposit Account No. 50-0510.

Respectfully submitted,

Date: 7/7/05


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| Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i> | ATTORNEY DOCKET NO. YOR920010276US1 | SERIAL NO. 09/865,249 |
| | APPLICANT Mastrianni et al. | |
| | FILING DATE May 25, 2001 | GROUP ART UNIT 2151 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | PUBLICATION DATE | INVENTOR NAME | CLASS/ SUBCLASS | FILING DATE |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | | PUBLICATION DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES NO | |
|---------------------|-----------------|-------------|---------------------|---------|--------------------|-----------------------|---|
| | AH | EP0566964B1 | Aug. 6, 1997 | EPO | G06F 11/14 | | X |
| | AI | EP1484680A1 | Dec. 8, 2004 | EPO | G06F 11/14 | | x |
| | AJ | WO 99/66401 | Dec. 23, 1999 | WIPO | G06F 11/14 | | x |

OTHER PRIOR ART *(including author, title, date, pertinent page, etc.)*

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|--|---|
| | AK Chervenak et al., "Protecting File Systems: A Survey of Backup Techniques (1998)", CiteSeer, pp. 1-15. |
| | |

RELATED PATENT APPLICATIONS

| EXAMINER INITIAL | APPLICATION NO./ ATTY. DOCKET NO. | APPLICANT | TITLE | FILING DATE |
|---------------------|--------------------------------------|-----------|-------|----------------|
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DATE CONSIDERED

EXAMINER

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